



UTILITY/DESIGN PATENT
Docket No. S63.2-9503

DECLARATION

As a below-named inventor, I(we) hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

- ☐ original
- ☐ design
- ☐ supplemental
- ☐ national stage of PCT
- ☐ divisional
- ☐ continuation
- ☒ continuation-in-part (CIP)

INVENTORSHIP DECLARATION

My residence, post office address, and citizenship are as stated below next to my name;

I verily believe I am the original, first and sole inventor (*if only one name is listed below*) or an original, first and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DIMENSIONALLY STABLE BALLOONS

the specification of which:

- a) ☐ is being filed concurrently herewith
- b) ☒ was filed on October 25, 2000 and assigned Serial No. 09/696,378
- c) ☐ was filed as PCT International Application No. _____ filed on _____ and amended under PCT Article 19 on _____.

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

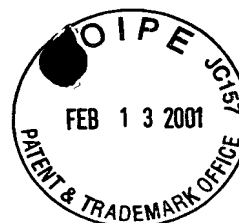
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56 including information occurring between the filing date of any prior application of which the present application is a continuation-in-part.

- ☐ In compliance with this duty there is attached an Information Disclosure Statement.
37 CFR 1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d), of any foreign application(s) for patent or inventor's certificate or of any PCT international applications(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international applications(s) designating at least one country other than the United States of America filed by me having the same subject matter having a filing date before that of the application on which priority is claimed.



- a) ☒ no such applications have been filed.
b) ☐ such applications have been filed as follows:

| COUNTRY | APPLICATION NUMBER | DATE OF FILING (day, month, year) | PRIORITY CLAIMED UNDER 37 USC 119 |
|---------|--------------------|--------------------------------------|--|
| | | | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES <input type="checkbox"/> NO |

I hereby claim the benefit under Title 35 United States Code, §119(e) of any United States provisional application identified below.

- a) ☒ no such applications have been filed.
b) ☐ such applications have been filed as follows:

| U.S. APPLICATIONS | |
|-------------------|------------------|
| SERIAL NUMBER | U.S. FILING DATE |
| 1. | |
| 2. | |

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATIONS(S) UNDER 35 U.S.C. §120

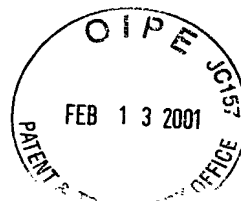
I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) or PCT international applications(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior applications(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior applications(s) and the national or PCT international filing date of this application.

- a) ☐ no such applications have been filed.
b) ☒ such applications have been filed as follows:

| U.S. APPLICATIONS | |
|---------------------------------------|------------------|
| SERIAL NUMBER | U.S. FILING DATE |
| 1. 09/426384 | 10/25/1999 |
| 2. | |
| PCT APPLICATIONS DESIGNATING THE U.S. | |
| PCT APPLICATION NO. | PCT FILING DATE |
| 3. | |

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Telephone calls and correspondence should be directed to: Walter J. Steinkraus, at
Customer No. 490, Telephone: (952) 563-3000, Facsimile: (952) 563-3001.

**First Inventor**

Full name: **John Jianhua Chen**
Inventor's signature: *[Signature]*
Date: 1/11/01
Citizenship: **United States of America**
Post office Address: **4725 Terraceview Lane
Plymouth, MN 55446**
Residence:
(If different than above)

Third Inventor

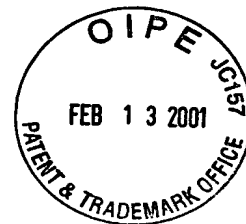
Full name: **Yiqun Wang**
Inventor's signature: _____
Date: _____
Citizenship: **Peoples Republic of China**
Post office Address: **6669 Quantico Lane N.
Maple Grove, MN 55311**
Residence:
(If different than above)

Second Inventor

Full name: **Lixiao Wang**
Inventor's signature: *[Signature]*
Date: 1/8/2001
Citizenship: **United States of America**
Post office Address: **12822 - 86th Place North
Maple Grove, MN 55369**
Residence: **1205 Oakview Road
Long Lake, MN 55356**
(If different than above)

Fourth Inventor

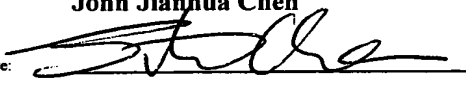
Full name: **Albert C.C. Chin**
Inventor's signature: _____
Date: _____
Citizenship: **United States of America**
Post office Address: **25 Bernard Street
Newton, MA 02461**
Residence:
(If different than above)

**First Inventor**Full name: **J hn Jianhua Chen**Inventor's signature: Date: 1/11/01Citizenship: **United States of America**Post office Address: **4725 Terraceview Lane
Plymouth, MN 55446**Residence:
(If different than above)**Third Inventor**Full name: **Yiqun Wang**Inventor's signature: Date: 1/30/2001Citizenship: **Peoples Republic of China**Post office Address: **6669 Quantico Lane N.
Maple Grove, MN 55311**Residence:
(If different than above)**Sec nd Inventor**Full name: **Lixia Wang**Inventor's signature: Date: 1/8/2001Citizenship: **United States of America**Post office Address: **12822 - 86th Place North
Maple Grove, MN 55369**Residence:
(If different than above)**Fourth Inventor**Full name: **Albert C.C. Chin**

Inventor's signature: _____

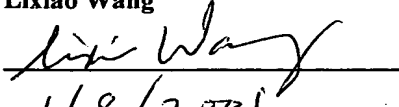
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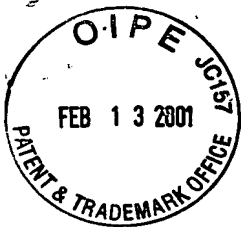
Citizenship: **United States of America**Post office Address: **25 Bernard Street
Newton, MA 02461**Residence:
(If different than above)

**First Inventor**Full name: **John Jianhua Chen**Inventor's signature: Date: 1/11/01Citizenship: **United States of America**Post office Address: **4725 Terraceview Lane
Plymouth, MN 55446**Residence:
(If different than above)**Third Inventor**Full name: **Yiqun Wang**

Inventor's signature: _____

Date: _____

Citizenship: **Peoples Republic of China**Post office Address: **6669 Quantico Lane N.
Maple Grove, MN 55311**Residence:
(If different than above)**Second Inventor**Full name: **Lixiao Wang**Inventor's signature: Date: 1/8/2001Citizenship: **United States of America**Post office Address: **12822 - 86th Place North
Maple Grove, MN 55369**Residence:
(If different than above)**Fourth Inventor**Full name: **Albert C.C. Chin**Inventor's signature: Date: 1/16/2001Citizenship: **United States of America**Post office Address: **25 Bernard Street
Newton, MA 02461**Residence:
(If different than above)



#3

UTILITY/DESIGN PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|--------------|---|
| Inventor(s): | Chen et al. |
| Title: | DIMENSIONALLY STABLE BALLOONS |
| Filed: | <input type="checkbox"/> concurrently herewith |
| | <input checked="" type="checkbox"/> was filed on <u>October 25, 2000</u> and assigned Serial No. <u>09/696,378</u> |

Commissioner for Patents
Washington, DC 20231

Docket No: S63.2-9503

POWER OF ATTORNEY FROM ASSIGNEE

As assignee of record of the entire interest of the above identified patent application, **SciMed Life Systems, Inc.** hereby appoint all practitioners of **Customer No. 490** to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. I hereby authorize them to act and rely on instructions from, and to communicate directly with, the firm or person which sent this case to Vidas, Arrett & Steinkraus, P.A., unless or until I instruct Vidas, Arrett & Steinkraus P.A., in writing to the contrary.

Address all correspondence to **Walter J. Steinkraus** at Customer Number 490.

Dated this 1st day of February, 2001.

(Company Name)

SciMed Life Systems, Inc.

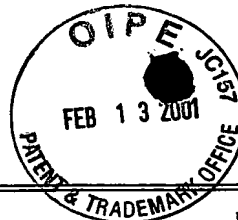
(Signature)
(typed name)

By:

Albert Kau
Patent Counsel

(title)

Its:



Form PTO-1595
1-31-92

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
John Jianhua Chen; Lixiao Wang; Yiqun Wang; Albert C.C. Chin

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):
Name: **SciMed Life Systems, Inc.**

Internal Address:

Street Address: **One SciMed Place**

City: **Maple Grove** State: **MN** Zip: **55311-1566**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1/11/01; 1/8/01; 1/30/01; 1/16/01

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s) **09/696,378**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Walter J. Steinkraus
Vidas, Arrett & Steinkraus, P.A.
Suite 2000, 6109 Blue Circle Drive
Minnetonka, MN 55343-9185**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40.00**

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number: **22-0350**
(Attach duplicate of this page if paying by deposit account)

COPY

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter J. Steinkraus

Signature

Date

2/6/2001

Total number of pages including cover sheet, attachments, and document: **3**

OMB No. 0651-011 (exp. 4/94)

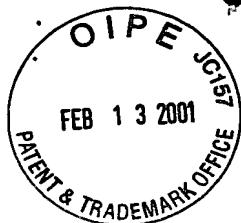
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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231



UTILITY/DESIGN PATENT
Docket No. S63.2-9503

ASSIGNMENT

WHEREAS, I(we), John Jianhua Chen; Lixiao Wang; Yiqun Wang; Albert C.C. Chin residing at 4725 Terraceview Lane, Plymouth, MN 55446; 12822 - 86th Place North, Maple Grove, MN 55369; 6669 Quantico Lane N., Maple Grove, MN 55311; 25 Bernard Street, Newton, MA 02461 have invented and own the entire United States right, title and interest in an invention for:

DIMENSIONALLY STABLE BALLOONS

disclosed in my application for United States Letter Patent filed:

- ☐ concurrently herewith
☒ was filed on October 25, 2000 and assigned Serial No. 09/696,378

I hereby authorize and request any attorney of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9185, to insert the filing date and application number of said application above when known.

WHEREAS, SciMed Life Systems, Inc. ("Assignee"), a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having its principal place of business at One SciMed Place, Maple Grove, MN 55311-1566, is desirous of acquiring the entire right, title, and interest in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon;

NOW, THEREFORE, Be It Known that for good and valuable consideration, the receipt of all of which is hereby acknowledged, I(we) hereby sell, assign, and transfer unto Assignee, its successors, and assigns, the entire right, title and interest, legal and equitable, in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon; and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to Assignee.

Dated: 1/11/01

First Inventor's Signature:
First Inventor's Name:


John Jianhua Chen

Dated: 1/8/2001

Second Inventor's Signature:
Second Inventor's Name:


Lixiao Wang

Dated: _____

Third Inventor's Signature:
Third Inventor's Name:

Yiqun Wang

Dated: _____

Fourth Inventor's Signature:
Fourth Inventor's Name:

Albert C.C. Chin

UTILITY/DESIGN PATENT

Docket No. S63.2-9503

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Dated: 1/11/01

First Inventor's Signature:
First Inventor's Name:


John Jianhua Chen


Dated: 1/8/2001

Second Inventor's Signature:
Second Inventor's Name:


Lixiao Wang

Dated: 1/30/2001

Third Inventor's Signature:
Third Inventor's Name:


Yiqun Wang

Dated: _____

Fourth Inventor's Signature:
Fourth Inventor's Name:

Albert C.C. Chin

UTILITY/DESIGN PATENT

Docket No. S63.2-9503

ASSIGNMENT

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Dated: 1/11/01

First Inventor's Signature:
First Inventor's Name:


John Jianhua Chen

Dated: 1/8/2001

Second Inventor's Signature:
Second Inventor's Name:


Lixiao Wang

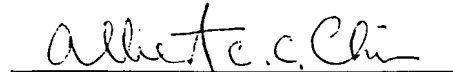
Dated: _____

Third Inventor's Signature:
Third Inventor's Name:

Yiqun Wang

Dated: 1/16/2001

Fourth Inventor's Signature:
Fourth Inventor's Name:


Albert C.C. Chin